

Combinatorial identities  
of Morel  
and extensions

Fall 2019 TLC  
North Carolina State

Joint with Richard Ehrenborg + Sophie Morel.

Thanks to ...

The Simons Foundation

ÉNS de Lyon

Institute for Advanced Study, Princeton.

Background.

[Morel 2011].

Computed intersection cohomology  
of Shimura varieties

Q: What is a Shimura variety?

Shimura varieties - a higher dim'l analogue  
of modular curves

Q: What ~~is~~ a modular curve?

A modular curve is the quotient

$$H/\Gamma$$

where

$H =$  upper half plane

$\Gamma =$  a congruence subgroup of the modular group of matrices in the special linear group  $SL(2, \mathbb{Z})$ .

$$SL(2, \mathbb{Z}): \quad \begin{bmatrix} a & b \\ c & d \end{bmatrix} z = \frac{az+b}{cz+d}$$

$\nearrow$   
 $\det = 1.$

linear fractional transformations  
mapping  $H$  to  $H$   
( $H^*$  to  $H^*$ )

ex.  $\Gamma = \left\{ \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \text{SL}(2, \mathbb{Z}) \text{ with } b, c \text{ even} \right\}.$



ex. The Klein quartic [1878].  
(a quotient of the order 7  
triangular tiling).

# The Klein quartic

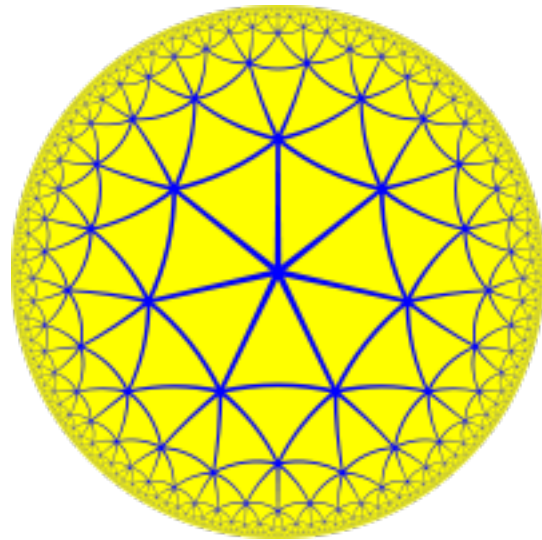


Image of the order 7 triangular tiling courtesy of Wikipedia, Tom Ruen

# The Klein quartic

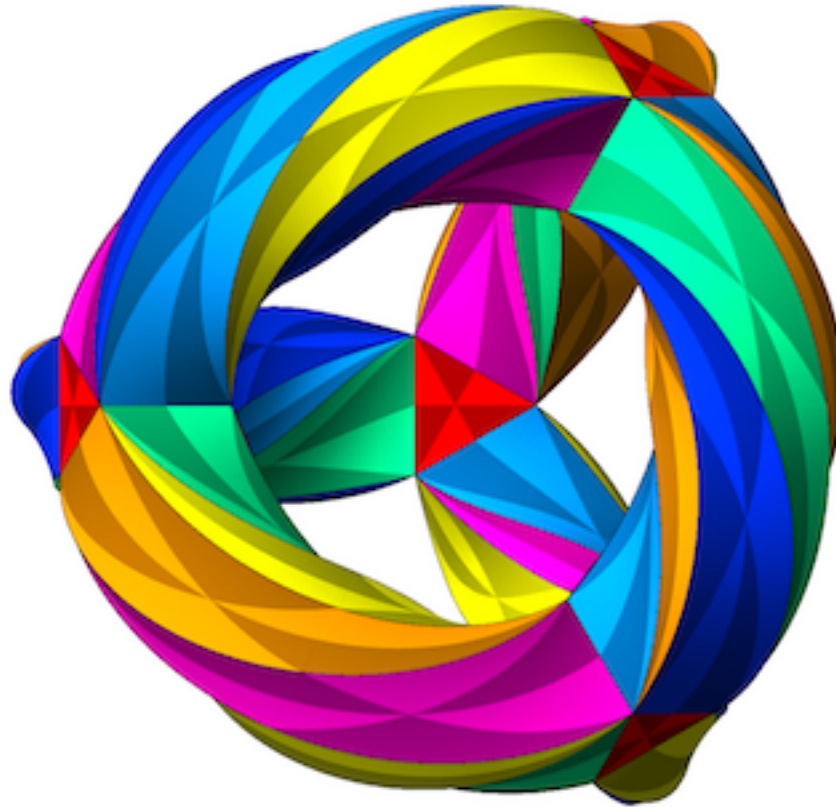


Image courtesy of Greg Egan

<https://www.gregegan.net/SCIENCE/KleinQuartic/KleinQuartic.html>

The Klein quartic as a projective algebraic curve

$$x^3y + y^3z + z^3x = 0$$

Movie by Greg Egan:

<https://www.gregegan.net/SCIENCE/KleinQuartic/KleinQuartic.html>

## Return to Shimura varieties

[Shimura 1967] Generalized complex multiplication with Shimura varieties.

[Deligne 1971] Axiomatic framework.

[Langlands 1974]. Shimura varieties are good for Galois representations (see Fermat's Last Theorem)

Test conjectures.

"All zeta functions are automorphic"  
(part of Langlands program).

Fact: Shimura varieties are  
important in number theory.

[Goresky - MacPherson 1974] Intersection homology.

Is an analogue of homology to study singular spaces.

Local intersection cohomology used to prove nonnegativity of Kazhdan-Lusztig polynomials for Weyl groups.

Return to  $||$

[Morel 2011] Computed intersection cohomology  
of Shimura varieties.

Combinatorial identities involving averaged  
discrete series characters of real  
reductive groups were naturally involved.



Combinatorics makes an  
entrance !!!

[Ehrenborg - Jung 2013, Ehrenborg - Hedmark 2018].

$\Pi_n^{\text{ord}}$ , the ordered partition lattice

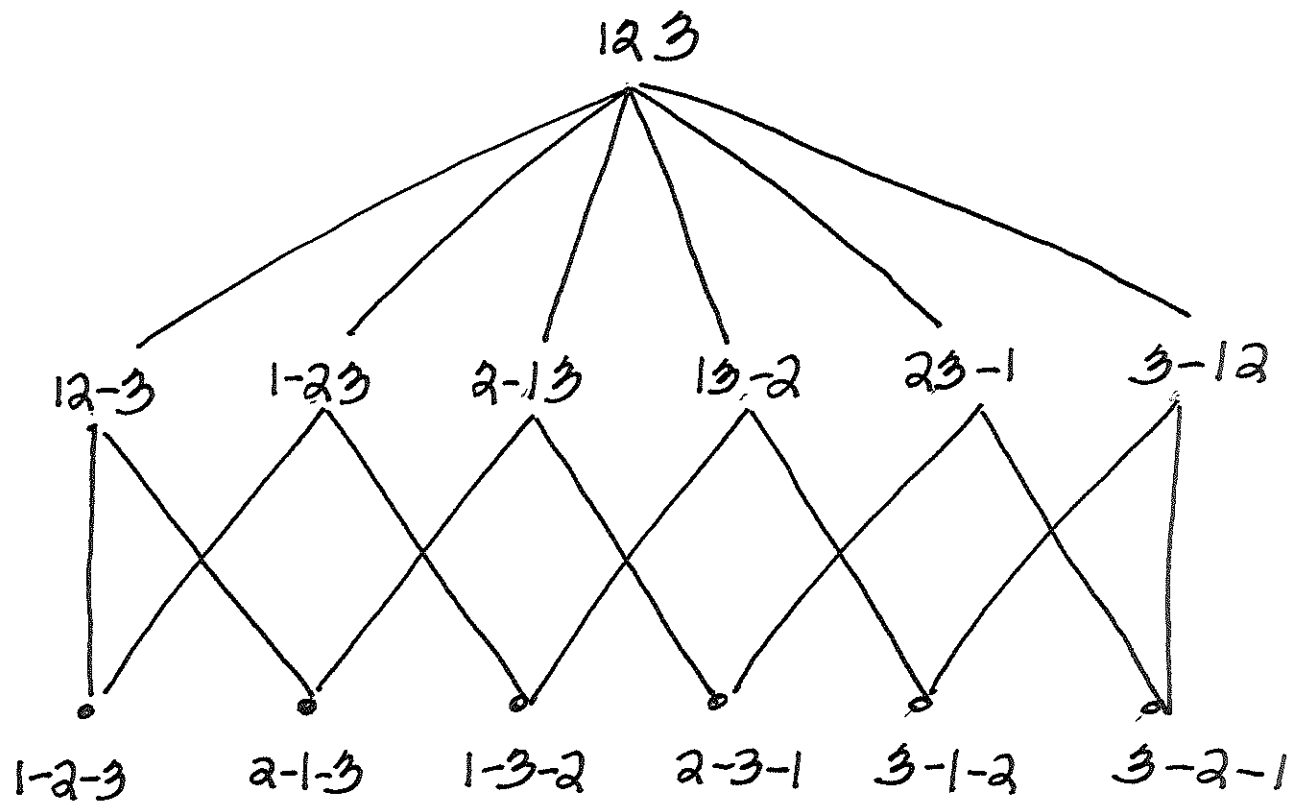
Elements: ordered partitions of  $\{1, \dots, n\}$ :

$(C_1, \dots, C_k)$ ,

where  $C_i$  disjoint and  $\dot{\bigcup}_i C_i = \{1, \dots, n\}$

Partial order: Merge adjacent blocks.

ex.  $\Pi_3^{\text{ord.}}$



$$\lambda = (\lambda_1, \dots, \lambda_n) \in \mathbb{R}^n$$

$$S \subseteq \{1, \dots, n\}$$

$$\lambda_S = \sum_{i \in S} \lambda_i$$

def.  $P(\lambda) = \{ \sigma = (c_1, \dots, c_k) \in \Pi_n^{\text{ord}} :$

$$\sum_{i=1}^j \lambda_{c_i} > 0 \quad \text{for } j=1, \dots, k \}$$

ex.  $\lambda = (5, 1, -4)$

$P(\lambda):$

- 1-2-3
- 1-3-2
- 2-1-3
- 12-3
- 13-2.
- 1-23
- 2-13
- 123.

Lemme: [Morel]

$\lambda \in \mathbb{R}^n$ . Then.

$$\sum_{\sigma \in P(\lambda)} (-1)^{|\sigma|} = \begin{cases} (-1)^n & \text{if } \lambda_1 - \lambda_n > 0 \\ 0 & \text{otherwise.} \end{cases}$$

ex. (Verify).

$$\lambda = (5, 1, -4)$$

$\sigma \in P(\lambda)$

1-2-3

1-3-2

2-1-3

12-3

13-2

1-23

2-13

123.

$(-1)^{|\sigma|}$

-1

-1

-1

+1

+1

+1

+1

-1

$$\sum = 0.$$

Lemma:  $P(\lambda)$  is an upper order ideal/  
(filter) in the poset  $\Pi_n^{\text{ord}}$ .

Proof.

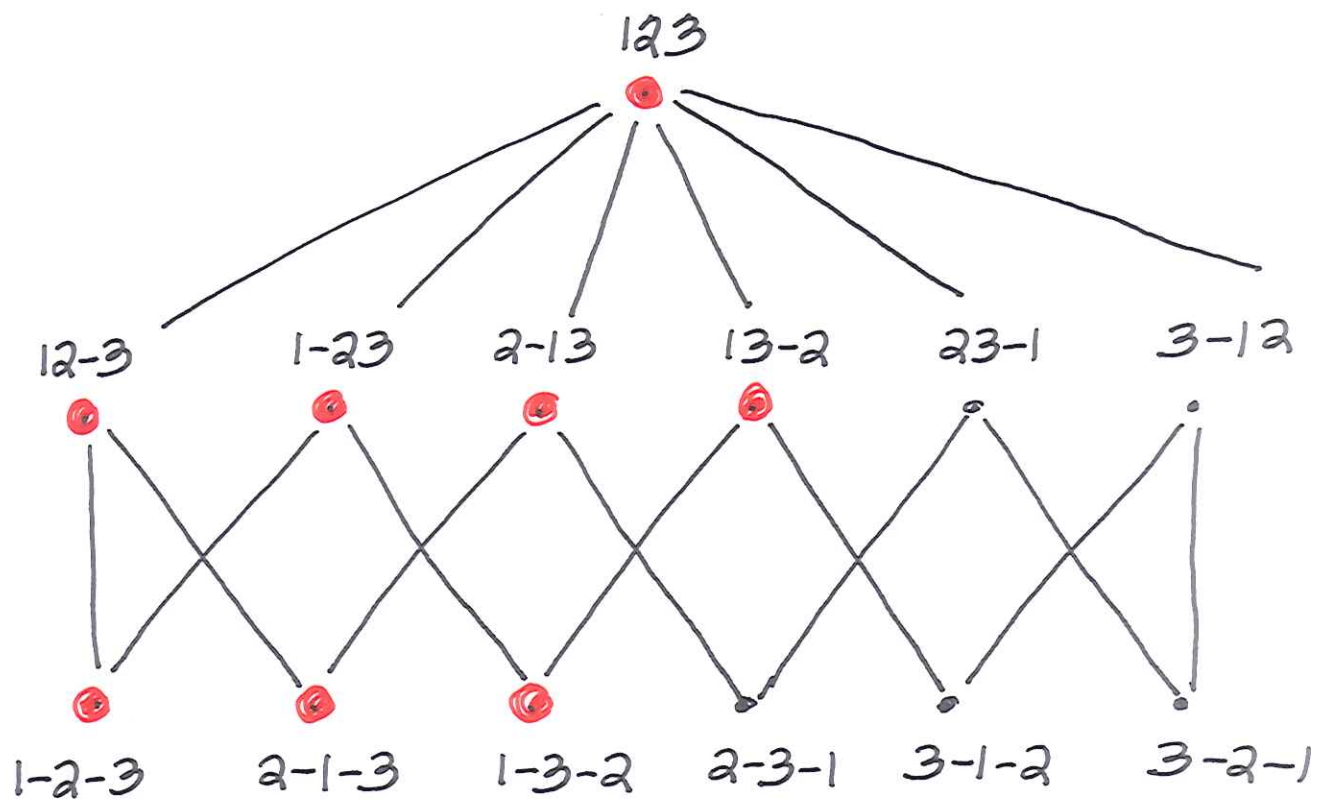
Going up in the poset corresponds  
to merging two adjacent blocks  
in  $\Pi_n^{\text{ord}}$ .

The positivity condition  $\sum_{i=1}^j \lambda_{c_i} > 0$  for  
 $j=1, \dots, \ell$ .

One less inequality to check.  $\square$



ex.  $\Pi_3^{\text{ord.}}$



● = element in  $P(\lambda)$   
 for  $\lambda = (5, 1, -4)$ .

Lemma 1:  $\sigma = (C_1, \dots, C_k) \in P(\lambda)$  an ordered partition

Say  $|C_j| > 1$ .

Let  $a \in C_j$  with  $\lambda_a$  a max value in  $C_j$ .

Let  $\sigma' = (C_1, \dots, C_{j-1}, \{a\}, C_j - \{a\}, \dots, C_k)$ .

Then.

①  $\sigma' \prec \sigma$

②.  $\sigma' \in P(\lambda)$ .

Proof

① Easy.

②. ET Show  $\sum_{i=1}^{j-1} \lambda_{C_i} + \lambda_a > 0$ .

If  $\lambda_a \geq 0$ , done.

If  $\lambda_a < 0 \Rightarrow$  all  $\lambda$  values in block  $C_j$  are negative.

So  $\sum_{i=1}^{j-1} \lambda_{C_i} + \lambda_a > \sum_{i=1}^{j-1} \lambda_{C_i} + \lambda_{C_j} > 0$   $\square$

def.  $A(\lambda) = \{ \tau \in \mathcal{C}_n : \sum_{i=1}^j \lambda \tau_i > 0 \text{ for } j=1, \dots, n \}$ .

Lemma 2:  $P(\lambda)$  is generated by  $A(\lambda)$ .  
(Given  $\sigma \in P(\lambda)$ ,  $\exists \tau \in A(\lambda)$  with  $\tau \leq \sigma$  in  $\Pi_n^{\text{ord}}$ ).

Proof

Iterate Lemma 1.  $\square$

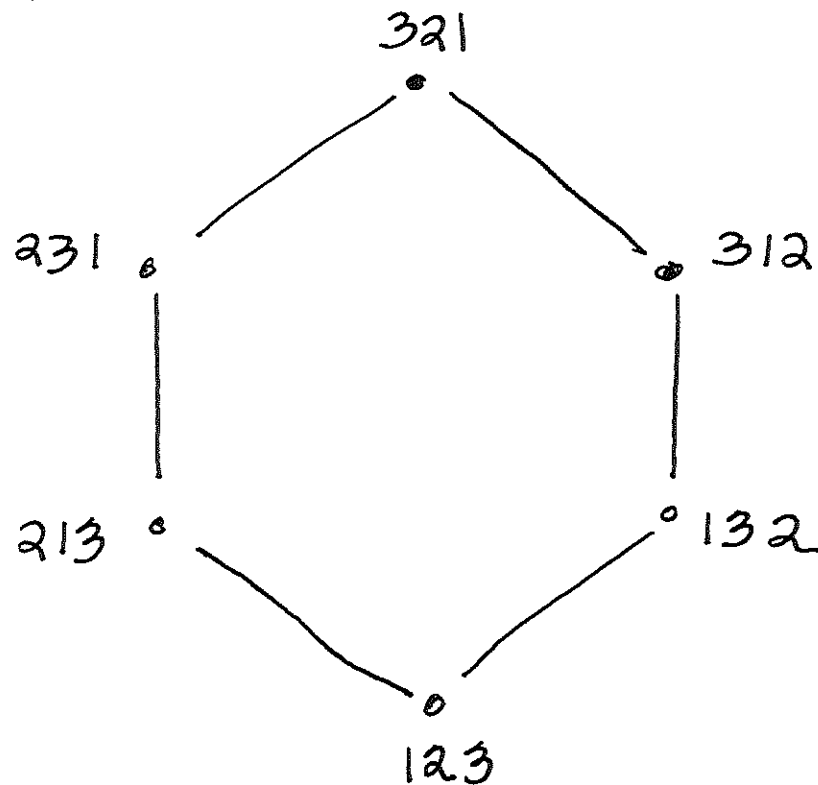
Remark: Lemma 1  $\Rightarrow$  intervals  $[\sigma_1, \sigma_2]$  in  $P(\lambda)$   
are  $\cong B_{|\sigma_1| - |\sigma_2|}$

Lemma 2  $\Rightarrow$   $P(\lambda)$  is generated by  
 $A(\lambda)$ .

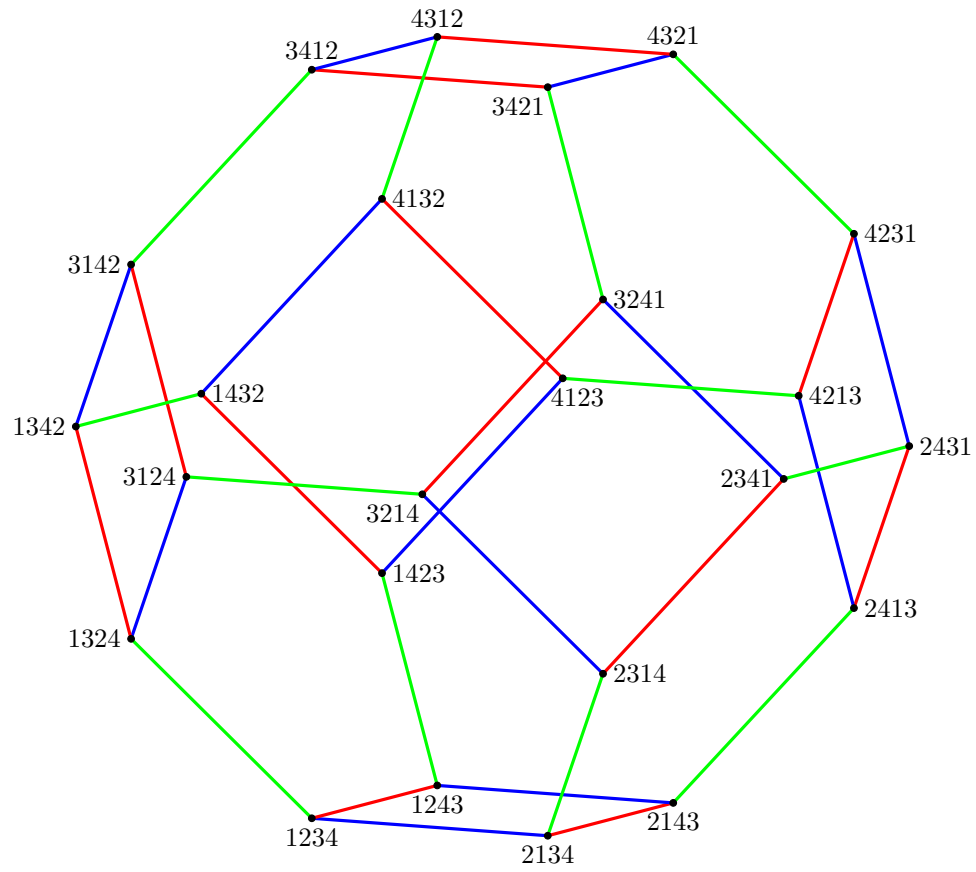
# The permutahedron

$$\text{Perm}(n) = \text{conv} \{ (\tau_1, \dots, \tau_n) \in \mathbb{R}^n \mid \tau = \tau_1 \dots \tau_n \in \mathcal{S}_n \}$$

ex.  $\text{Perm}(3)$ .



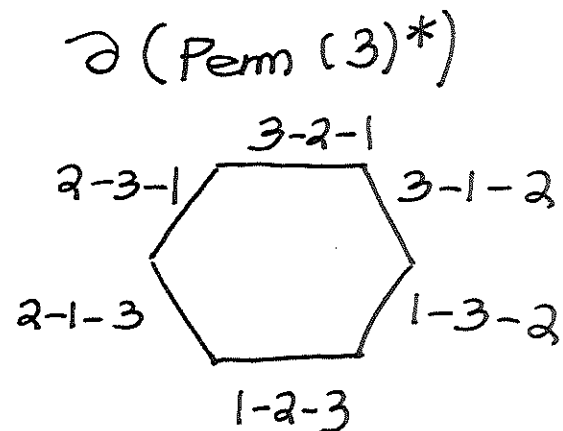
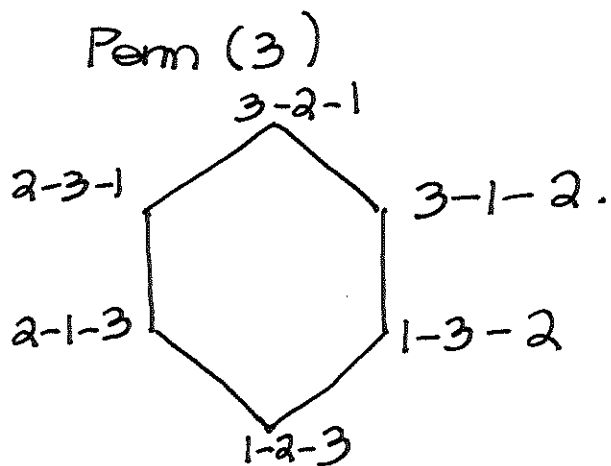
# Perm(4)



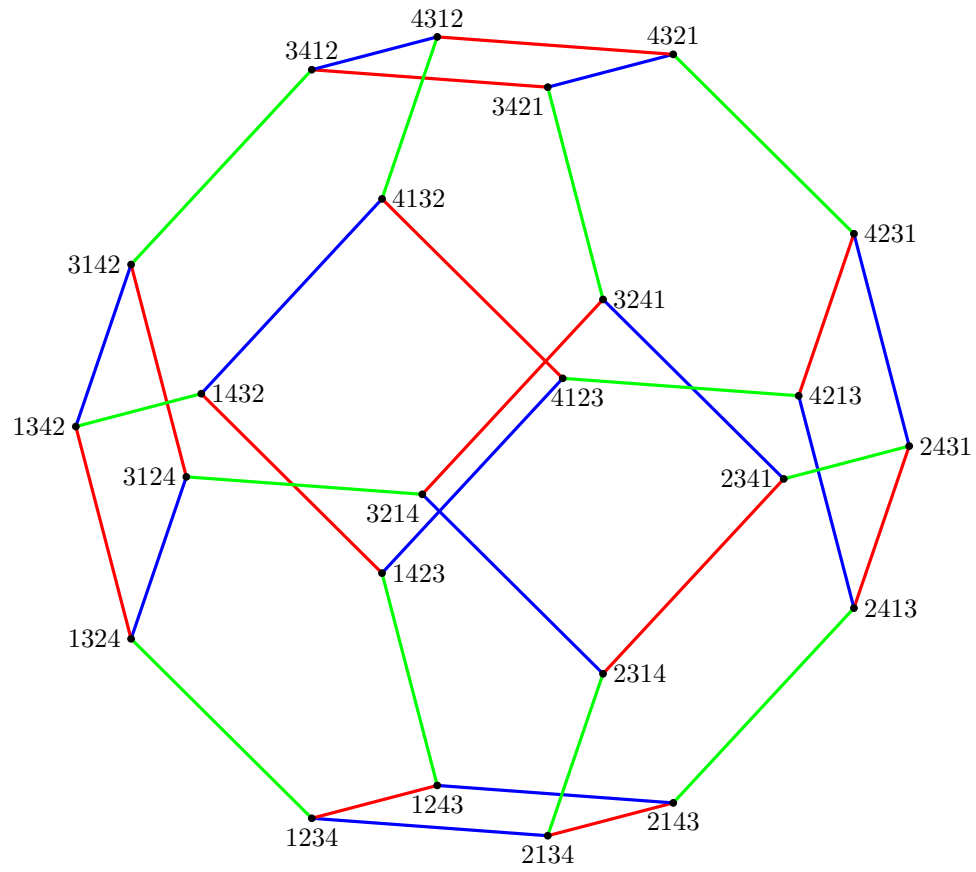
Courtesy of Richard Ehrenborg

Fact 9 :

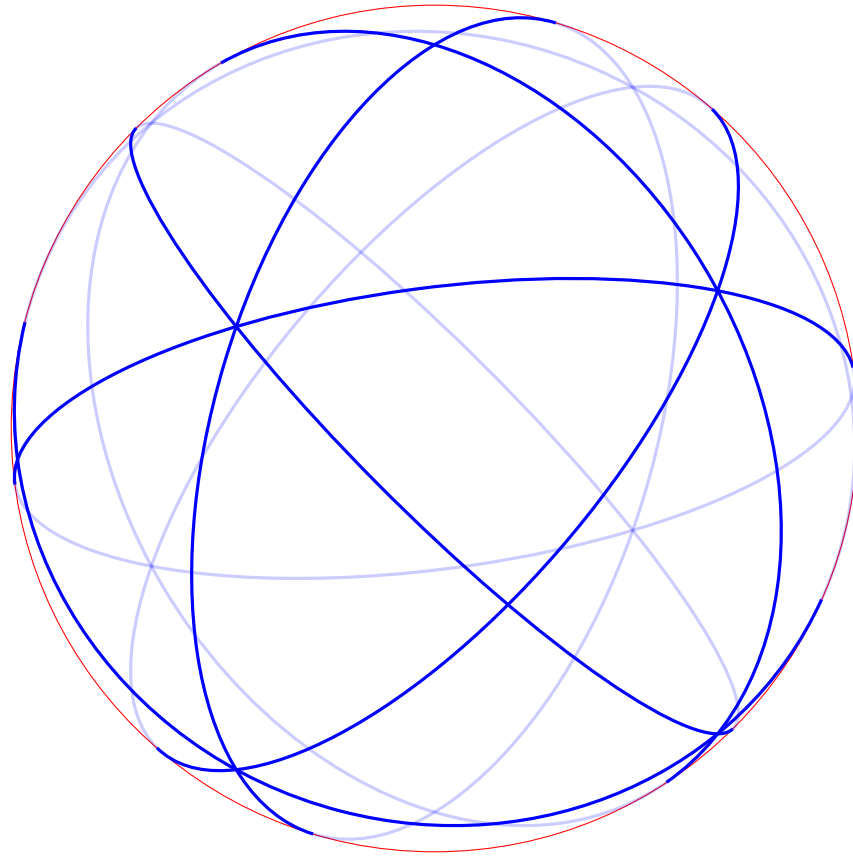
1.  $\dim(\text{Perm}(n)) = n-1$
2. Is a simple polytope
3. 1-skeleton is
4.  $\Pi_n^{\text{ord}} \cong \mathcal{L}(\text{Perm}(n))$
5. The dual polytope  $(\text{Perm}(n))^*$  is a simplicial polytope.



# Perm(4)



# The dual Perm(4)\*



Courtesy of Alex Happ



Weak Bruhat order

on  $\mathfrak{S}_n$

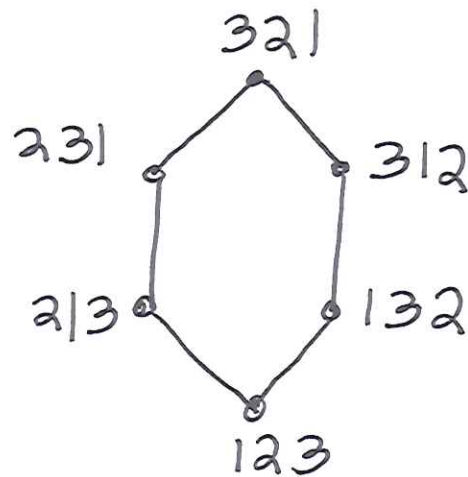
$s_i = (i, i+1), \quad i=1, \dots, n-1$  adjacent transpositions

$\sigma \in \mathfrak{S}_n$

$\text{inv}(\sigma) = |\{(i, j) : \sigma_i > \sigma_j \text{ for } i < j\}|$ .

$\sigma \prec \sigma s_i$  if  $\text{inv}(\sigma) + 1 = \text{inv}(\sigma s_i)$

ex.  $\mathfrak{S}_3$ .



graded by  $\text{inv}(\cdot)$ .

$\Delta$  pure simplicial complex of dim  $d$   
is shellable if

①  $\dim \Delta = 0$  or

②.  $\exists$  ordering  $F_1, \dots, F_s$  of facets  
s.t.

$$\bar{F}_j \cap (\bar{F}_1 \cup \dots \cup \bar{F}_{j-1})$$

is a pure simplicial complex of  
dimension  $d-1$  for  $j=1, \dots, s$ .

[Bruggesser - Mani 1971].

$\partial(\text{polytope})$  is shellable.

Proof idea: "rocket ship."

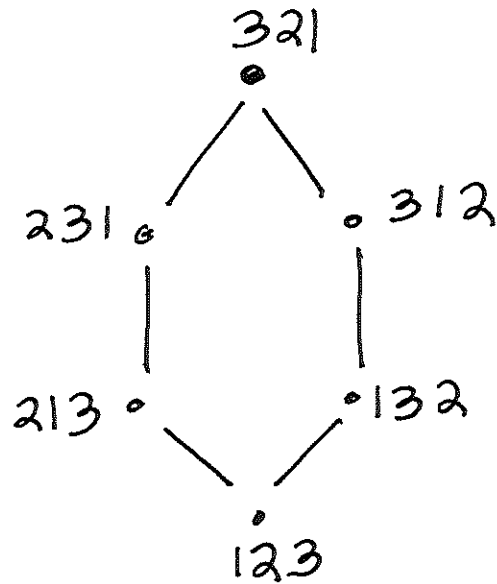
Sommerville's 1929 "proof" of Euler-Poincaré-Schläfli:

$$f_0 - f_1 + \dots + (-1)^{d-1} f_{d-1} = 1 - (-1)^d \quad \text{for } P \text{ a } d\text{-dim'l polytope}$$

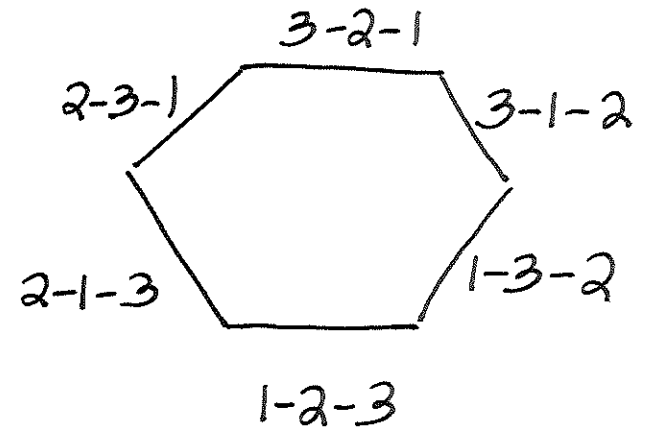
assumed shellability.

[ Björner 1984 ] Any linear extension of the weak Bruhat order is a shelling order of  $\partial(\text{Perm}(n))^*$ .

ex. weak Bruhat order on  $\mathfrak{S}_3$ :



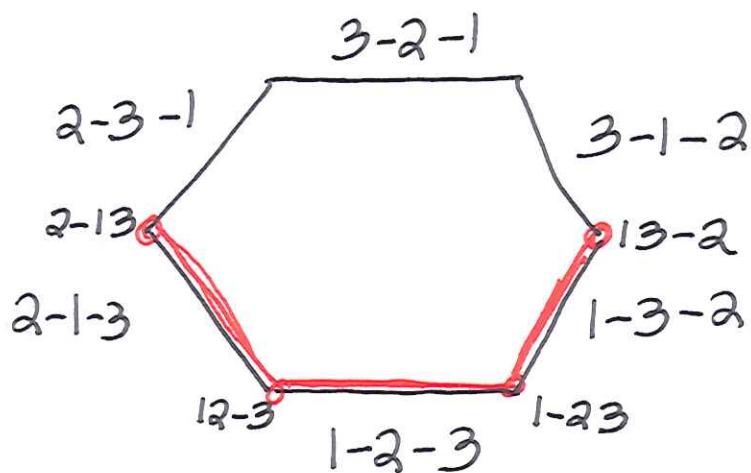
$\partial(\text{Perm}(3))^*$ .



Let

$\Sigma(\lambda) = (P(\lambda))^*$  be the weighted complex.

ex. (original).

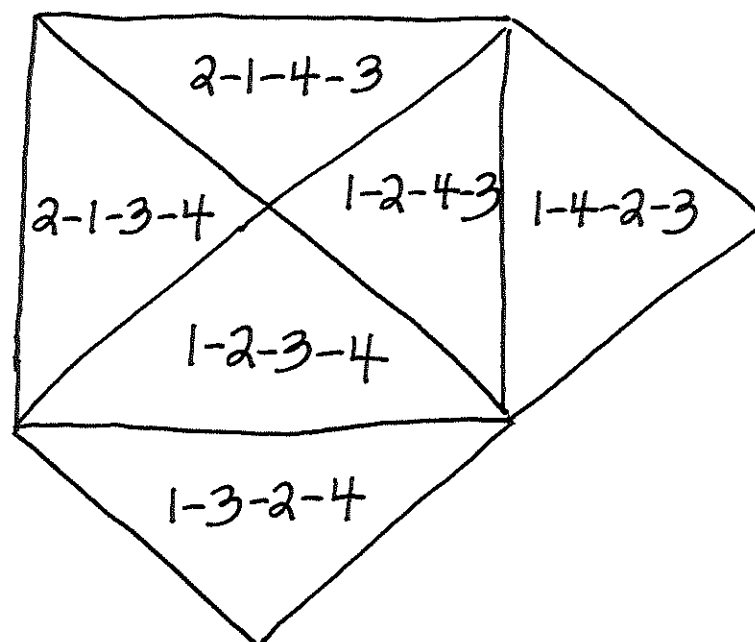


$$\lambda = (5, 1, -4).$$

$(P(\lambda))^*$  in red.

ex.  $\lambda = (5, 1, -2, -3)$ .

$\Sigma(\lambda)$ :



Theorem: [Ehrenborg - Moreel - Readdy]

Let  $\lambda \in \mathbb{R}^n$  with  $\lambda_1 \geq \dots \geq \lambda_n$ ,

and  $\lambda_1 + \dots + \lambda_n > 0$ .

Then  $\Sigma(\lambda)$  is a partial shelling  
(start of a shelling) of  $\partial(\text{Perm}(n)^*)$ .

Hence  $\Sigma(\lambda)$  is shellable.

Furthermore  $\Sigma(\lambda)$  is homeomorphic  
to a sphere or ball via

$$\Sigma(\lambda) \cong \begin{cases} \mathbb{S}^{n-2} & \text{if } \lambda_n > 0 \\ \mathbb{B}^{n-2} & \text{if } \lambda_n < 0. \end{cases}$$

Proof

The only homology facet is

$$\tau_0 \cong n \cdots 21$$

and  $\tau_0 \in \partial(\text{Perm}(n))^*$  if

$$\lambda_n > 0 \quad \square$$



Corollary: [Morel]

$\lambda \in \mathbb{R}^n$ . Then

$$\sum_{\sigma \in P(\lambda)} (-1)^{|\sigma|} = \begin{cases} (-1)^n & \text{if } \lambda_1, \dots, \lambda_n > 0 \\ 0 & \text{otherwise.} \end{cases}$$

Proof

LHS is Euler characteristic of  $\Sigma(\lambda)$ .

$\Rightarrow$  either a sphere or ball.  $\square$

More identities'''



## Character formula

$$\Theta_{\lambda}(\mathcal{Z}) = \sum_{w \in W} n(r, w) w(\lambda) \mathcal{Z} \Delta_w(y)^{-1}$$

$n(r, w)$  are averaged discrete series constants.

Formulas for  $n(r, w)$  due to

① Herb

②. Goresky - Kottwitz - MacPherson.  
Looked at the double quotient

$$\Gamma \backslash G / K$$

$\Gamma =$  arithmetic subgroup

ex.  $G = \mathrm{SL}_2$  gives modular curves

$G = \mathrm{Sp}_{2n}(\mathbb{R})$  an algebraic variety over  $\mathbb{Q}$  (a Siegel modular variety).

Look at cohomology + action of  $\mathrm{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})$

Can realize Langland's correspondence + test conjectures

[Morel]: Identified two virtual representations  
of the real points of a maximal  
torus  $T$  of the general  
symplectic group

(Morel related work of  
Arthur & Goresky-Harder-Kottwitz-MacPherson).

Combinatorics to the  
~~rescue~~!!!

Theorem

||

Lemme : [Morel]

For every  $\lambda \in \mathbb{R}^n$

$$S(\lambda) = (-1)^n T(\lambda)$$

where

$$S(\lambda) = \sum_{\sigma \in \Sigma(\lambda)} (-1)^{|\sigma|} \cdot (-1)^{g(\sigma)}$$

and.

$$T(\lambda) = \sum_{P \in M_n} (-1)^P \cdot c(P, \lambda).$$

## More details

The map  $g: \Sigma(\lambda) \rightarrow \mathfrak{S}_n$ :

Take  $\sigma \in \Sigma(\lambda)$

Order elements in each block in decreasing order.

Record as a permutation reading left to right.

$M_n =$  set of all max'l matchings on  $\{1, \dots, n\}$

For  $p \in M_n$ , two edges  $\{a, c\}$  +  $\{b, d\}$  cross  
if  $a < b < c < d$ .

def.  $(-1)^P = (-1)^{\text{cross}(p)} \cdot \begin{cases} 1, & \text{if } n \text{ even} \\ (-1)^{i-1}, & \text{if } n \text{ odd +} \\ & i \text{ is the unique} \\ & \text{isolated vertex in} \\ & \text{max'l matching } p. \end{cases}$

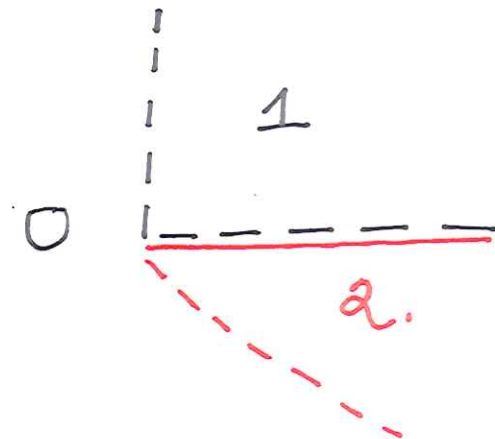


Define  $c_1: \mathbb{R} \rightarrow \mathbb{R}$ ,  $c_2: \mathbb{R}^2 \rightarrow \mathbb{R}$  by

$$c_1(a) = \mathbb{1}_{\{a > 0\}}.$$

$$c_2(a) = \begin{cases} 1, & \text{if } a, b > 0 \\ 2, & \text{if } a > -b \geq 0 \\ 0, & \text{otherwise.} \end{cases}$$

Hence if  $e = \{i, j\}$  with  $i < j$   
 $c(e, \lambda) = c_2(\lambda_i, \lambda_j).$



Set

$$c(p, \lambda) = \prod_{j=1}^{\lfloor n/2 \rfloor} c(e_j, \lambda) \cdot \begin{cases} 1 & \text{if } n \text{ even} \\ c_1(\lambda_e) & \text{if } n \text{ odd.} \end{cases}$$

## Proof of Lemme

(Inspired by Herb's work on discrete series characters).

①. Prove for  $\lambda$  with  $\lambda_1 \geq \dots \geq \lambda_n$ . (base case)

②. Given  $\lambda \in \mathbb{R}^n$  let

$$\mu = (\lambda_1, \dots, \lambda_{i-1}, \lambda_{i+2}, \dots, \lambda_n) \in \mathbb{R}^{n-2}.$$

$$\text{Prop: } S(\lambda) + S(s_i \lambda) = -2 \mathbb{1}_{\lambda_i + \lambda_{i+1} > 0} \cdot S(\mu)$$

$$T(\lambda) + T(s_i \lambda) = +2 \mathbb{1}_{\lambda_i + \lambda_{i+1} > 0} \cdot T(\mu).$$

Pf

Induct on  $n$

Assume Thm true for  $n-2$

$\{s_1, \dots, s_{n-1}\}$  generate  $G_n$ .

Thm true for  $\lambda \iff \exists \tau \in G_n$  st.  
Thm true for  $\tau \cdot \lambda$ .

Can find  $\tau \in G_n$  st.  $\lambda_{\tau(1)} > \dots > \lambda_{\tau(n)}$

Done by ①  $\square$

## Current Research

Today: The case  $\mathfrak{g}_n$  (type A Coxeter group).

Natural question: Extend to other root systems.

Setting: Gorensky-Kottwitz-MacPherson + Herb gave  
2 different formulations for Harish-Chandra's  
character formula for stable discrete  
series of real reductive groups.

Identities we obtain in this setting have no  
representation theory explanation "|| yet" |||

Thank you!